

Coherent Flavour Oscillation and CP Violating Parameter in Thermal Resonant Leptogenesis

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Abstract

Solving the Kadanoff-Baym (KB) equations in a different method from our previous analysis [1], we obtain the CP violating parameter ε in the thermal resonant leptogenesis *without assuming* smallness of the off-diagonal Yukawa couplings. For that purpose, we first derive a kinetic equation for density matrix of RH neutrinos with almost degenerate masses M_i ($i = 1, 2$) $\sim M$. If the deviation from thermal equilibrium is small, the differential equation is reduced to a linear algebraic equation and the density matrix can be solved explicitly in terms of the time variation of (local) equilibrium distribution function. The obtained CP-violating parameter ε_i is proportional to an enhancement factor $(M_i^2 - M_j^2)M_i\Gamma_j/((M_i^2 - M_j^2)^2 + R_{ij}^2)$ with a regulator $R_{ij} = M(\Gamma_i + \Gamma_j)$, consistent with the previous analysis [1]. The decay width can be determined systematically by the 1PI self-energy of the RH neutrinos in the 2PI formalism.

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1 Introduction

Leptogenesis is one of very attractive scenario to explain the baryon number asymmetry [2] (For review, see [3]), but if the Majorana masses of the right-handed (RH) neutrinos have a hierarchical structure, the lightest Majorana mass must be heavier than 10^9 GeV [4] in order to produce sufficient amount of lepton number asymmetry. The condition can be evaded when Majorana masses are almost degenerate, which is called the resonant leptogenesis [6][7][8].

In light of the LHC experiment TeV scale leptogenesis has attracted much attention [10]–[37]. Especially, when we try to solve the naturalness problem via the Coleman Weinberg mechanism in a $B-L$ sector [38][39], $U(1)_{B-L}$ gauge symmetry must be spontaneously broken around the TeV scale [40] and masses of RH neutrinos are naturally at the same energy scale. The leptogenesis scale can be much lowered by considering neutrino flavour oscillation out-of-equilibrium, which is important in the ν MSM scenario [41][42][43][44]. Hence it is becoming more and more important to treat coherent flavour oscillation in a systematic way.

In a conventional approach based on the classical Boltzmann equation, the evolution of the phase space distribution functions of on-shell particles is described and the interactions between particles are taken into account through the collision terms that comprise the S-matrix elements calculated separately. So the conventional classical method is not valid when the quantum coherent oscillation becomes important such as the flavour oscillations or the resonant leptogenesis. Density matrix formalism [45][46] is a multi-flavour generalization of the Boltzmann equation and has been applied to neutrino flavour oscillations [47][48][49][50]. Another formulation is to use the Kadanoff-Baym (KB) equation, which is derived from the Schwinger-Dyson equation on closed-time-path. The approach is very systematic but difficult to solve without introducing various approximations. It was first applied to the leptogenesis with a hierarchical structure of the Majorana mass [51], and intensively used in various papers [52]–[63]. KB equation was applied to the resonant leptogenesis and oscillatory behaviour of lepton asymmetry was discussed [64][65][66]. The quantum oscillations in the flavored leptogenesis are also discussed in [67][68][69][70][71].

In the resonant leptogenesis, CP-asymmetry in the decay of RH neutrinos is generated by an interference of the tree and the self-energy one-loop diagrams. The CP -violating parameter is given by

$$\varepsilon_i \equiv \frac{\Gamma_{N_i \rightarrow \ell \phi} - \Gamma_{N_i \rightarrow \bar{\ell} \bar{\phi}}}{\Gamma_{N_i \rightarrow \ell \phi} + \Gamma_{N_i \rightarrow \bar{\ell} \bar{\phi}}} = \sum_{j(\neq i)} \frac{\Im(h^\dagger h)_{ij}^2}{(h^\dagger h)_{ii}(h^\dagger h)_{jj}} \frac{(M_i^2 - M_j^2)M_i\Gamma_j}{(M_i^2 - M_j^2)^2 + R_{ij}^2} \quad (1.1)$$

where h is the neutrino Yukawa coupling and $\Gamma_i \simeq (h^\dagger h)_{ii}M_i/8\pi$ is the decay width of N_i . The resonant enhancement of the CP-violating parameter was discussed in [72], and systematically studied in [7][73][74]. The regulator was given by $R_{ij} = M_i\Gamma_j$. If the mass difference is larger than the decay width, we have $|M_i^2 - M_j^2| \gg R_{ij}$, and ε_i is suppressed by $\Gamma_i/M \sim (h^\dagger h)_{ii}$. However, in the degenerate case, $|M_i - M_j| \sim \Gamma$ and ε can be enhanced to $\mathcal{O}((h^\dagger h)^0) \sim 1$.

Hence the determination of the regulator R_{ij} is essential for a precise prediction of the lepton number asymmetry in the resonant leptogenesis. The authors [75] calculated the resummed propagator of the RH neutrinos and obtained a different regulator $R_{ij} = |M_i \Gamma_i - M_j \Gamma_j|$. By using their result, the enhancement factor becomes much larger. The origin of the difference of the regulators is discussed in [76] [77].

Recently Garny et.al. [78] systematically investigated generation of the lepton asymmetry in the resonant leptogenesis using the formulas developed in [52][53]. In the investigation, they considered a non-equilibrium initial condition in a time-independent background and calculated generation of the lepton number asymmetry. Starting from the vacuum initial state for the RH neutrinos, they read the CP-violating parameter from the generated lepton number asymmetry. The effective regulator they derived is $R_{ij} = M_i \Gamma_i + M_j \Gamma_j$, which differs from the previous results.

In a previous paper [1], we solved the KB equation in the thermal resonant leptogenesis and obtained the same regulator $R_{ij} = M_i \Gamma_i + M_j \Gamma_j$ as above. Our derivation is applicable to cases when the background is slowly changing with time but valid only when the off-diagonal component of the Yukawa couplings are small compared to the diagonal ones

$$\Re(h^\dagger h)' < |M_i - M_j|/M \simeq \Gamma/M \sim (h^\dagger h)_{ii}^d. \quad (1.2)$$

For practical purposes, this condition is too strong and it is desirable to extend the analysis to more general cases with large off-diagonal Yukawa couplings.

The purpose of the paper is to solve the KB equation without assuming smallness of the off-diagonal Yukawa couplings (1.2). In order for it, we first rewrite the KB equation in terms of the density matrix of RH neutrinos. Since Majorana fermions have 2 spinor components, the density matrix is $2N_F \times 2N_F$ for N_F flavours. In deriving the kinetic equation for the density matrix, we assume that deviation of the distribution functions are not very large. If the condition is satisfied, we reproduce the equation [45]. Various terms in the equation can be systematically obtained in the 2PI formalism. The kinetic equation, which is a differential equation, is reduced to a linear equation when an inequality $H \ll \Gamma_i$ in (2.6) between the Hubble parameter H and the decay width Γ_i of RH neutrino N_i is satisfied. Then it is straightforward to obtain the solution of deviation of the RH neutrino density matrix from the local equilibrium. From the off-diagonal component of density matrix, we can read the CP violating parameter ε . The same CP violating parameter as in [1] with the regulator $R_{ij} = M_i \Gamma_i + M_j \Gamma_j$ is obtained.

The paper is organized as follows. In section 3, we derive kinetic equations of density matrices starting from the Kadanoff-Baym equations. The derivation is performed under an assumption that distribution functions are not far from the local equilibrium ones. But smallness of flavour mixing interactions is *not* assumed. Namely, the off-diagonal Yukawa couplings are not necessary small compared to the diagonal ones, and coherent flavour oscillation is fully taken into account. In section 4, we derive kinetic equations of the RH neutrinos

and lepton asymmetry in the yield variables. In section 5, we solve the kinetic equations to obtain the RH neutrino density matrix. From the flavour off-diagonal component, we read the CP-violating parameter ε . We summarize in section 6. In Appendix A, we explain derivation of the kinetic term $d_t f$ from KB equation. Explicit forms of inverse of matrix \mathcal{C} are written in Appendix B.

2 Comparison of time scales

We introduce multi-flavour right-handed neutrinos $\nu_{R,i}$ where i is the flavour index, $i = 1 \cdots N_F$. In particular we consider a case that two RH neutrinos have almost degenerate masses. Hence we set $N_F = 2$ in the following. We write $N_i = \nu_{R,i} + \nu_{R,i}^c$. The Lagrangian is given by

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{1}{2} \bar{N}^i (i \not{\nabla} - M_i) N^i + \mathcal{L}_{int} , \quad (2.1)$$

$$\mathcal{L}_{int} \equiv -h_{\alpha i} (\bar{\ell}_a^\alpha \epsilon_{ab} \phi_b^*) P_R N^i + h_{i\alpha}^\dagger \bar{N}^i P_L (\phi_b \epsilon_{ba} \ell_a^\alpha) \quad (2.2)$$

where $\alpha, \beta = 1, 2, 3$ and $a, b = 1, 2$ are flavor indices of the SM leptons ℓ_a^α and isospin $SU(2)_L$ indices respectively. M_i is the Majorana mass of N_i and $h_{i\alpha}$ is the Yukawa coupling of N^i, ℓ_a^α and the Higgs ϕ_a doublet. $P_{R(L)}$ are chiral projections on right(left)-handed fermions. As a concrete model we consider the Lagrangian (2.2) with only the Yukawa couplings, but the following analysis and the results are not restricted to the specific model: we can systematically include other interactions such as the $B-L$ gauge interactions of the RH neutrinos N_i .

We compare various time (or inverse mass) scales in the model. First the Hubble parameter H in the radiation dominant universe is given by

$$H \sim 1.66 \sqrt{g_*} \frac{T^2}{M_{pl}} \sim \frac{T^2}{10^{18} \text{GeV}} \quad (2.3)$$

where T is the temperature of the universe. Thermal masses and decay widths of SM leptons ℓ and Higgs ϕ are given by $m_{\ell, \phi} \sim gT$ and $\Gamma_{\ell, \phi} \sim g^2 T$ where g is the SM gauge coupling. When T is lower than $g^2 \times 10^{18} \text{GeV}$, $\Gamma_{\ell, \phi}$ are larger than H . Since we are interested in the TeV scale leptogenesis in the present paper, we have the relation

$$\Gamma_{\ell, \phi} \sim g^2 T \gg H \sim \frac{T^2}{10^{18} \text{GeV}} . \quad (2.4)$$

In type I seesaw model, the decay width of the RH neutrino is given by $\Gamma_i \sim (h^\dagger h)_{ii} M_i / 8\pi$. The ratio of Γ_i to the Hubble parameter (2.3) at temperature $T = M_i$ is rewritten in terms of the “effective neutrino mass” \tilde{m}_i as (see e.g. [3])

$$K_i = \frac{\Gamma_i}{H(M_i)} = \frac{\tilde{m}_i}{10^{-3} \text{eV}}, \quad \tilde{m}_i \equiv \frac{(h^\dagger h)_{ii} v^2}{M_i}. \quad (2.5)$$

where v is the scale of the EWSB. Hence if we take the Yukawa coupling so as to $\tilde{m}_i \sim 0.1$ eV, the ratio becomes $K_i \sim 100$. This corresponds to the strong washout regime. Hence we have the following inequality among various quantities with mass dimension:

$$\Gamma_\phi, \Gamma_\ell \gg \Gamma_i \gg H. \quad (2.6)$$

The inequality $\Gamma_{\ell, \phi} \gg \Gamma_i$ is not used in the analysis of the present paper. Hence our results are still valid when the RH neutrinos are charged under $B-L$ gauge interaction and Γ_i becomes larger.

3 From KB to density matrix evolution

In this section, we derive an evolution equation of the multi-flavour density matrix of the RH neutrinos N_i [45, 49] starting from the Kadanoff-Baym equation (see also [66]). KB equation is derived from the Schwinger-Dyson equation on the closed-time-path, which is a fully systematic equation of the Green functions in a non-equilibrium setting. Deriving the kinetic equation for density matrix from the KB equation makes it clear under what conditions the density matrix equation is obtained and what kinds of diagrams contribute to various terms in the density matrix formalism, especially the resonantly enhanced CP violating parameter and the *decay widths* Γ_i contained in the regulator of ε_i .

3.1 Green functions

First we define various Green functions. An ij -component of Wightman Green functions is defined by

$$G_>(x, y)_{ij} = \langle \hat{N}_i(x) \overline{\hat{N}_j(y)} \rangle, \quad G_<(x, y)_{ij} = -\langle \overline{\hat{N}_j(y)} \hat{N}_i(x) \rangle. \quad (3.1)$$

The mass \hat{M} and 1PI self-energy function Π are also 2×2 matrices (besides the spinor structure) with the flavour indices ij . We also define the spectral function by $G_\rho = i(G_> - G_<)$ and the statistical propagator by $G_F = (G_> + G_<)/2$. The retarded (advanced) Green functions are related to the spectral function by the relation

$$G_{R/A}(x, y) = \pm \Theta(\pm(x^0 - y^0)) G_\rho(x, y). \quad (3.2)$$

For the self-energy function Γ , we can similarly define various types of self-energy functions of R, A, ρ and \gtrless . (See Appendix B of [1].)

3.2 Kadanoff-Baym equations

The Kadanoff-Baym (KB) equation of the RH neutrinos in the expanding universe is given by

$$\left(i\gamma^0 \partial_{x^0} - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a(x^0)} - \hat{M} \right) G_{\lesseqgtr}(x^0, y^0) - (\Pi_R * G_{\lesseqgtr})(x^0, y^0) = (\Pi_{\lesseqgtr} * G_A)(x^0, y^0). \quad (3.3)$$

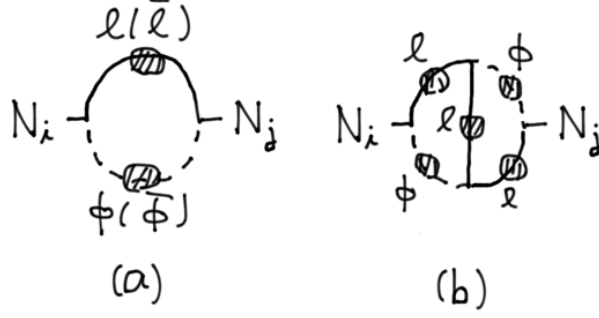


Figure 1: Self-energy diagrams of RH neutrino N_i . In the 2PI formalism, each internal line represents a full propagator while vertices are given by tree vertices. Tree-level decay width is generated from the left figure (a). The right figure (b) gives the so-called direct CP violating parameter of the RH neutrino, an interference between the tree and the one-loop vertex corrections.

\mathbf{q} is the comoving momentum and $*$ is the convolution in the time coordinate. Symbolically we write it as

$$iG_0^{-1}G_{\leq} - \Pi_R G_{\leq} = \Pi_{\leq} G_A. \quad (3.4)$$

The 1PI self-energy function Π of RH neutrino is obtained by cutting a (full)

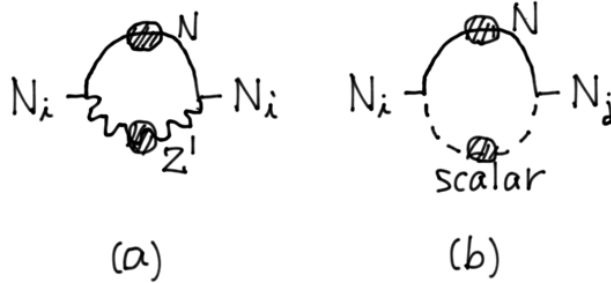


Figure 2: Self-energy diagrams of RH neutrino N_i with $B-L$ gauge interaction (a) or with Majorana Yukawa interaction with a SM singlet scalar field (b).

propagator of 2PI diagrams. In the 2PI formalism, all internal lines represent full propagators while vertices are tree. For more details, see Appendix C, D of [1]. Figure 1 are examples of self-energy diagrams. In deriving the KB equation, Figure 1(a) gives the decay width at tree level while Figure 1(b) gives an interference between the tree and the one-loop vertex diagrams [62]. Hence

the direct CP violating parameter is contained in fig. 1 (b). If we include Z' gauge boson or a scalar field coupled with the RH neutrinos, other self-energy diagrams in Figure 2 contribute to Π .

By taking the Fourier transform with respect to the relative time coordinate $s = x^0 - y^0$, eq. (3.3) becomes

$$e^{-i\Diamond} \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a(X)} - \hat{M} - \Pi_R(X; q_0) \right\} \{G_{\leq}(X; q_0)\} = e^{-i\Diamond} \{ \Pi_{\leq}(X; q_0) \} \{G_A(X; q_0)\}. \quad (3.5)$$

$X = (x^0 + y^0)/2$ is the center-of-mass time coordinate. Here we used the Moyal-Weyl bracket defined by

$$e^{-i\Diamond} \{f(X; q_0)\} \{g(X; q_0)\} = e^{\frac{i}{2}(\partial_{q_0}^f \partial_X^g - \partial_X^f \partial_{q_0}^g)} f(X; q_0) g(X; q_0). \quad (3.6)$$

In the expanding universe with the Hubble parameter H , X derivative is often estimated as $\partial_X \sim \mathcal{O}(H)$. On the other hand, derivative with respect to the relative momentum q_0 is estimated as $\partial_{q_0} f \sim \mathcal{O}(1/\Gamma_f)$ where Γ_f is the decay width of the function $f(X, s) \sim e^{-\Gamma_f s}$. In (3.5), Γ for G_* ($*$ = $\leq, A, R, , ,$) is given by the decay width Γ_N of the RH neutrinos. In the strong washout regime, we have an inequality $H \ll \Gamma_N$. Since the dominant contribution to the self-energy Π comes from the diagram in Figure 1 (a), Γ for Π_* is given by the decay widths of the charged lepton and Higgs $\Gamma_{l,\phi}$ propagating in the internal lines. They are much larger than Γ_N . An expansion with respect to \Diamond is given by $H/\Gamma_{N,\ell,\phi}$ and hence justified by (2.6).

Taking up to the first order of the derivative expansion of \Diamond , we have

$$\begin{aligned} \left(\gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R \right) G_{\leq} - i\Diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R \right\} \{G_{\leq}\} \\ = \Pi_{\leq} G_A - i\Diamond \{ \Pi_{\leq} \} \{G_A\} \end{aligned} \quad (3.7)$$

The spectral function G_ρ satisfies a similar equation in which \geq (of G and Π) is replaced by ρ .

3.3 Green function in the (local) equilibrium

If we drop the derivative term containing \Diamond , it becomes an equation for the Green function in the local equilibrium at time X ;

$$\left(\gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right) G_{\leq}^{eq} = \Pi_{\leq}^{eq} G_A^{eq}. \quad (3.8)$$

By using the KB equation of the retarded Green function (see eq. (2.11) in [1]),

$$\left(\gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right) G_R^{eq} = -1, \quad (3.9)$$

eq.(3.8) is solved as

$$G_{\leq}^{eq} = -G_R^{eq} \Pi_{\leq}^{eq} G_A^{eq}. \quad (3.10)$$

In the thermal equilibrium at temperature T , the Green functions are anti-periodic in the time direction with an imaginary period $i\beta = i/T$. Hence Fourier transform satisfies the Kubo-Martin-Schwinger (KMS) relation

$$G_{\lessgtr}^{(eq)}(q) = -i \left\{ \begin{array}{c} 1 - f^{(eq)}(q) \\ -f^{(eq)}(q) \end{array} \right\} G_{\rho}^{(eq)}(q), \quad (3.11)$$

where $f^{(eq)}$ is the Fermi distribution function $f^{(eq)}(q_0) = 1/(e^{q_0/T} + 1)$. Various properties of the equilibrium Green functions are reviewed in section 3.5 in [1]. Especially, as shown in (3.45) in [1], the off-diagonal component of the Wightman functions $G_{\lessgtr}^{(eq)}(x_0, y_0)$ vanishes in the limit of $x_0 \rightarrow y_0$. It directly follows from the KMS relation together with the equal-time anti-commutation relation of the fields N_i . When the system is out of equilibrium, it deviates from zero whose imaginary part gives the CP violating source for the lepton number asymmetry.

If the system is slightly deviated from the local equilibrium, KMS relation indicates that the deviation is written as

$$\delta G_{\lessgtr}(q) = -i\delta \left\{ \begin{array}{c} 1 - f(q) \\ -f(q) \end{array} \right\} G_{\rho}(q) - i \left\{ \begin{array}{c} 1 - f(q) \\ -f(q) \end{array} \right\} \delta G_{\rho}(q). \quad (3.12)$$

We then define

$$\widetilde{\delta G}_{\lessgtr} \equiv \delta G_{\lessgtr} + i \left[\begin{array}{c} -f \\ 1 - f \end{array} \right] \delta G_{\rho} = \delta G_F + i \left(\frac{1}{2} - f \right) \delta G_{\rho}. \quad (3.13)$$

which represents a deviation of the distribution function $\widetilde{\delta G}_{\lessgtr} \sim i(\delta f)G_{\rho}$.

3.4 KB equation for small deviation from $G_{\lessgtr}^{(eq)}$

We now derive the KB equation for a small deviation from the local equilibrium. Taking a variation in (3.7) and picking up to the first order terms of δ , we have

$$\begin{aligned} & \left(\gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right) \delta G_{\lessgtr} - \delta \Pi_R G_{\lessgtr}^{eq} - i \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right\} \{ \delta G_{\lessgtr} \} \\ & - i \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} - \delta \Pi_R \right\} \{ G_{\lessgtr}^{eq} \} \\ & = \Pi_{\lessgtr}^{eq} \delta G_A + \delta \Pi_{\lessgtr} G_A^{eq} - i \diamond \left\{ \Pi_{\lessgtr}^{eq} \right\} \{ G_A^{eq} + \delta G_A \} - i \diamond \{ \delta \Pi_{\lessgtr} \} \{ G_A^{eq} \}. \end{aligned} \quad (3.14)$$

We can obtain the same equation for G_{ρ} by replacing \lessgtr by ρ . By combining these equations and using the KMS relation, some terms are cancelled and we

have

$$\begin{aligned}
& \left(\gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right) \left(\delta G_{\leq} + i \begin{bmatrix} 1-f \\ -f \end{bmatrix} \delta G_{\rho} \right) \\
& - i \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right\} \left(\{ \delta G_{\leq} \} + i \begin{bmatrix} 1-f \\ -f \end{bmatrix} \{ \delta G_{\rho} \} \right) \\
& - i \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} - \delta \Pi_R \right\} \left\{ \begin{bmatrix} 1-f \\ -f \end{bmatrix} \right\} G_{\rho}^{eq}(-i) \\
& = \left(\delta \Pi_{\leq} + i \begin{bmatrix} 1-f \\ -f \end{bmatrix} \delta \Pi_{\rho} \right) G_A^{eq} - i(-i) \Pi_{\rho}^{eq} \diamond \left\{ \begin{bmatrix} 1-f \\ -f \end{bmatrix} \right\} \{ G_A^{eq} + \delta G_A \} \\
& - i \diamond \left(\{ \delta \Pi_{\leq} \} + i \begin{bmatrix} 1-f \\ -f \end{bmatrix} \{ \delta \Pi_{\rho} \} \right) \{ G_A^{eq} \} \tag{3.15}
\end{aligned}$$

where we defined

$$\widetilde{\{ \delta G_{\leq} \}} \equiv \{ \delta G_{\leq} \} + i \begin{bmatrix} -f \\ 1-f \end{bmatrix} \{ \delta G_{\rho} \} = \{ \delta G_F \} + i \left(\frac{1}{2} - f \right) \{ \delta G_{\rho} \}.$$

The deviation from $G_{\leq}^{(eq)}$ occurs due to the expansion of the universe, and hence δG_{\leq} is proportional to the Hubble parameter H . Since the derivative expansion of \diamond is an expansion of H , we can drop terms containing more than one δ or \diamond when $H \ll \Gamma_N, \Gamma_{\ell, \phi}$. Then (3.15) is simplified as

$$\begin{aligned}
& - i \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right\} \{ if \} G_{\rho}^{eq} + i \Pi_{\rho}^{eq} \diamond \{ if \} \{ G_A^{eq} \} \\
& = \delta \Pi_{\leq} G_A^{eq} - \left(\gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right) \widetilde{\delta G_{\leq}} \tag{3.16}
\end{aligned}$$

Instead of (3.4), we can start from

$$i G_{\leq} G_0^{-1} - G_{\leq} \Pi_A = G_R \Pi_{\leq} \tag{3.17}$$

and obtain a similar equation to (3.16),

$$\begin{aligned}
& - i G_{\rho}^{eq} \diamond \{ if \} \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_A^{eq} \right\} + i \diamond \{ G_R^{eq} \} \{ if \} \Pi_{\rho}^{eq} \\
& = G_R^{eq} \delta \Pi_{\leq} - \widetilde{\delta G_{\leq}} \left(\gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_A^{eq} \right). \tag{3.18}
\end{aligned}$$

By multiplying a helicity projection operator with $h = \pm 1$

$$P_h \equiv \frac{1 + h \mathbf{n} \cdot \boldsymbol{\sigma}}{2}, \quad \mathbf{n} = \frac{\mathbf{q}}{q}, \quad \sigma^i = \gamma^0 \gamma^i \gamma_5 \tag{3.19}$$

on [(3.16) – (3.18)], and taking trace of spinors, we get

$$\begin{aligned}
& - i \text{tr} \left[P_h \left(\diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right\} \{ if \} G_{\rho}^{eq} - \Pi_{\rho}^{eq} \diamond \{ if \} \{ G_A^{eq} \} \right. \right. \\
& \quad \left. \left. - G_{\rho}^{eq} \diamond \{ if \} \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_A^{eq} \right\} + \diamond \{ G_R^{eq} \} \{ if \} \Pi_{\rho}^{eq} \right) \right] \\
& = \text{tr} \left[P_h \left(\left(\hat{M} + \Pi_H^{eq} \right) \widetilde{\delta G_{\leq}} - \widetilde{\delta G_{\leq}} \left(\hat{M} + \Pi_H^{eq} \right) \right) \right] \\
& + \text{tr} \left[P_h \left(\delta \Pi_{\leq} G_A^{eq} + \frac{1}{2} \Pi_{\rho}^{eq} \widetilde{\delta G_{\leq}} - G_R^{eq} \delta \Pi_{\leq} + \frac{1}{2} \widetilde{\delta G_{\leq}} \Pi_{\rho}^{eq} \right) \right]. \tag{3.20}
\end{aligned}$$

where $\Pi_H = (\Pi_R + \Pi_A)/2$.

We make the following quasi-particle ansatz for $\delta\widetilde{G}_{\leq}$. In the present paper, we consider a situation that two RH neutrinos have almost degenerate masses. Hence their poles in the Green function can be approximated by a single pole of Breit-Wigner type:

$$\begin{aligned}\delta\widetilde{G}_{\leq} &\simeq \sum_{h=\pm} i\delta f_{N,h}(q_0, X) G_{\rho}^{eq} P_h \\ &\simeq \sum_{h=\pm} (-\delta f_{N,h,q}) \frac{\Gamma_q}{(q_0 - \omega_q)^2 + \Gamma_q^2/4} \frac{\not{q}_+ + M}{2\omega_q} P_h \\ &\quad + \sum_{h=\pm} (-\delta f_{N,h,q}^*) \frac{\Gamma_q}{(q_0 + \omega_q)^2 + \Gamma_q^2/4} \frac{\not{q}_- + M}{2\omega_q} P_h.\end{aligned}\quad (3.21)$$

where we set the momentum at on-shell $q_{\pm\mu} = (\pm\omega_q, -\mathbf{q})_{\mu}$ and

$$G_{\rho}^{eq} \simeq \sum_{h=\pm} \frac{i2q_0\Gamma_q(\not{q} + M)}{(q_0^2 - \omega_q^2) + \omega_q^2\Gamma_q^2} P_h \quad (3.22)$$

is the spectral density of RH neutrino. Two mass eigenstates are summed in the distribution function δf_N . As explained in Section 3.3, flavour off-diagonal components of the distribution function is suppressed by a cancellation of two mass eigenstates. But when the system is out-of-equilibrium, off-diagonal component of δf_N becomes comparable to its diagonal one.

Also note that hermiticity of Wightman function

$$[G_{<}(q_0, \mathbf{q})]^{\dagger} = \gamma^0 G_{>}(q_0, \mathbf{q}) \gamma^0 \quad (3.23)$$

together with spatial homogeneity and isotropy require the relation $\delta f_{N,h,q}^{\dagger} = \delta f_{N,h,q}$. Majorana condition

$$[G_{<}(q_0, \mathbf{q})]^C = C[G_{>}(-q_0, -\mathbf{q})]^t C^{-1} = G_{<}(q_0, \mathbf{q}) \quad (3.24)$$

relates the positive and negative frequency parts as in (3.21).

We then insert the ansatz of $\delta\widetilde{G}_{\leq}$ of (3.21) into (3.20) and perform q_0 integration: $\int_0^{\infty} dq_0/2\pi$. It is dominated near the region $q_0 \sim \omega_{\mathbf{q}} = \sqrt{M^2 + |\mathbf{q}|^2}$ (see Appendix A), and we get an evolution equation for the density matrix;

$$-id_t f_{N,h,q} = -[\omega_{qh}^{\text{eff}}, \delta f_{N,h,q}] + \frac{\mathcal{S}}{2}. \quad (3.25)$$

The density matrix $f_{N,h,q}$ contains an equilibrium part $f_{N,h,q}^{eq} = f_N(\omega_q) \mathbf{1}_{2 \times 2}$ and a deviation from it. The derivation of the l.h.s. (the kinetic term $d_t f_{N,h,q}$) is given in Appendix A. The first term of the r.h.s. in (3.20) gives an effective Hamiltonian,

$$\omega_{qh}^{\text{eff}} = \text{tr} \left\{ \left(\hat{M} + \Pi_H^{eq}(q) \right) \frac{\not{q} + M}{2\omega_q} P_h \right\}, \quad (3.26)$$

while the second term gives the collision term,

$$\begin{aligned}
\mathcal{S} &= -\text{tr} \left[P_h \left(\delta \widetilde{\Pi}_{\leq} G_{\rho}^{eq} - \Pi_{\rho}^{eq} \delta \widetilde{G}_{\leq} + G_{\rho}^{eq} \delta \widetilde{\Pi}_{\leq} - \delta \widetilde{G}_{\leq} \Pi_{\rho}^{eq} \right) \right] \\
&= +i \text{tr} \left[P_h \left(\{ \delta \widetilde{\Pi}_{>}, G_{<}^{eq} \} + \{ \Pi_{>}^{eq}, \delta \widetilde{G}_{<} \} - \{ \delta \widetilde{\Pi}_{<}, G_{>}^{eq} \} - \{ \Pi_{<}^{eq}, \delta \widetilde{G}_{>} \} \right) \right] \\
&= +i \left\{ \text{tr} \left[P_h \frac{\not{q} + M}{2\omega_q} \delta \Pi_{>}(q) \right], -f_{N,h,q}^{eq} \right\} + i \left\{ \text{tr} \left[P_h \frac{\not{q} + M}{2\omega_q} \Pi_{>}^{eq}(q) \right], -\delta f_{N,h,q}^{eq} \right\} \\
&\quad - i \left\{ \text{tr} \left[P_h \frac{\not{q} + M}{2\omega_q} \delta \Pi_{<}(q) \right], 1 - f_{N,h,q}^{eq} \right\} - i \left\{ \text{tr} \left[P_h \frac{\not{q} + M}{2\omega_q} \Pi_{<}^{eq}(q) \right], -\delta f_{N,h,q}^{eq} \right\}
\end{aligned} \tag{3.27}$$

Here we have used smallness of the flavour off-diagonal components of $G_{i \neq j}^{eq}$ (see discussion after (3.11)), and smallness of flavour dependent thermal corrections to G_R .

4 Kinetic equation for density matrix

In deriving kinetic equations for the density matrix, we need to make quasi-particle ansatz in (3.21). Similar ansatz must be imposed on the internal lines in the self-energy diagrams Π because distribution functions (even when they are matrix-valued) are defined only on mass-shell. This is the most subtle point in the KB approach. In order to take various diagrams contained in each self-energy diagram in Figure 1, an often-adopted method is to expand the full propagators and cut the self-energy diagram into two. Examples are shown in Figure 3. On the cut-line, on-shell propagators are used.

4.1 Kinetic equation for RH neutrinos

The collision term (3.27) is proportional to

$$\text{tr} [P_h (\{ \Pi_{>}, G_{<} \} - \{ \Pi_{<}, G_{>} \})]. \tag{4.1}$$

The first term with $G_{<}$ describes decay (or scattering) of RH neutrino (plus other particles) into others while the second term with $G_{>}$ is an inverse-decay (or inverse scattering). By expanding the full propagators in the self-energy Π and cutting the diagram into two, we have various diagrams with on-shell external lines. External lines are assigned to either incoming or outgoing particles. If a cut diagram with $G_{<}$ represents a scattering process of $N+i+j \cdots \rightarrow a+b+\cdots$, it can be expressed as

$$- \text{tr} \{ \Pi_{>}(q) (\not{q} + M) P_h \} = \sum_{i, \dots, a, \dots} \int d\Pi_{a, \dots, i, \dots} \gamma_{hij \dots}^{ab \dots} f_i f_j \dots (1 - \eta_a f_a) (1 - \eta_b f_b) \dots \tag{4.2}$$

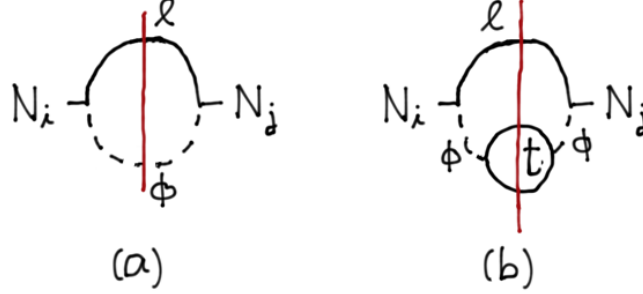


Figure 3: Two dominant contributions to the self-energy diagrams of Figure 1 (a). Propagators that cross with the cut-line in the middle are put on mass-shell. Internal lines are no longer full propagators. The left figure (a) gives a decay and an inverse-decay term of RH neutrinos in the KB equation. In the right figure (b), we consider a loop correction of the Higgs propagator by top quarks. It gives scattering terms such as $N + \bar{\ell} \leftrightarrow t + \bar{Q}$ or $N + Q \leftrightarrow \ell + t$ in the KB equation[63].

$\eta_{a,i} = \pm 1$ corresponding to boson or fermion. Here the integral measure is defined as

$$d\Pi_{a,\dots,i,\dots} = \prod_{a,\dots,i,\dots} \frac{d^3 q_a}{(2\pi)^3 2\omega_a} \dots \frac{d^3 p_i}{(2\pi)^3 2\omega_i} \dots \quad (4.3)$$

where q_a and p_i are momenta of incoming and outgoing particles. On the other hand, if a diagram with $G_>$ represents an inverse scattering process of $a + b + \dots \rightarrow N + i + j + \dots$, it can be expressed as

$$\text{tr} \{ \Pi_<(q)(\not{q} + M)P_h \} = \sum_{i,\dots,a,\dots} \int d\Pi_{a,\dots,i,\dots} \gamma_{hij..}^{ab..} (1 - \eta_i f_i)(1 - \eta_j f_j) \dots f_a f_b \dots \quad (4.4)$$

Combining these two contributions, the evolution equation for the density matrix $f_{N,h,q}$ (3.25) is written as

$$\begin{aligned} d_t f_{N,h,q} = & -i [\omega_{qs}^{\text{eff}}, f_{N,h,q}] \\ & - \frac{1}{2} \frac{1}{2\omega_q} \sum_{i,\dots,a,\dots} \int d\Pi_{a,\dots,i,\dots} \{ \gamma_{hij..}^{ab..}, f_{N,h,q} \} f_i f_j \dots (1 - \eta_a f_a)(1 - \eta_b f_b) \dots \\ & + \frac{1}{2} \frac{1}{2\omega_q} \sum_{i,\dots,a,\dots} \int d\Pi_{a,\dots,i,\dots} \{ \gamma_{hij..}^{ab..}, (1 - f_{N,h,q}) \} (1 - \eta_i f_i)(1 - \eta_j f_j) \dots f_a f_b \dots \end{aligned} \quad (4.5)$$

In this expression, we combined variations as $\Pi = \Pi^{(eq)} + \delta\Pi$ and $f_N = f_N^{(eq)} + \delta f_N$ for notational simplicity. 0-th order term of the variation δ automatically cancels due to the detailed balance condition in the equilibrium.

Let us now consider a specific diagram of Figure 3 (a). This diagram is reduced to the cut diagram of Figure 4 (a). Figure 4 (b) is its conjugate and N decays into $(\bar{\ell}, \phi^*)$. Other diagrams like Figure 3 (b) are of higher orders in the Yukawa couplings, and we omit them in the following. From Figure 3(a) and

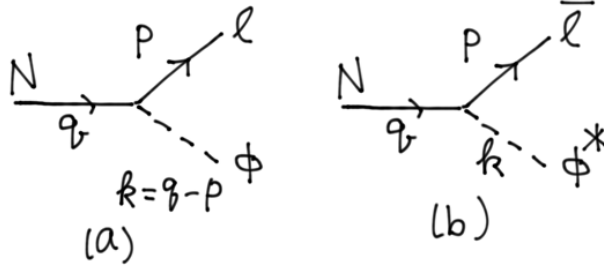


Figure 4: Decay of RH neutrino into (ℓ, ϕ) and $(\bar{\ell}, \phi^*)$.

its conjugate, we have

$$\sum_{\alpha} \int d\Pi_{pk} (\gamma_h^{\ell\alpha\phi} (1 - f_{\ell\alpha p}) (1 + f_{\phi k}) + (\gamma_{-h}^{\ell\alpha\phi})^* (1 - f_{\bar{\ell}\alpha p}) (1 + f_{\bar{\phi}k})) \quad (4.6)$$

for (4.2), and

$$\sum_{\alpha} \int d\Pi_{pk} (\gamma_h^{\ell\alpha\phi} f_{\ell\alpha p} f_{\phi k} + (\gamma_{-h}^{\ell\alpha\phi})^* f_{\bar{\ell}\alpha p} f_{\bar{\phi}k}) \quad (4.7)$$

for (4.4) where the following relation

$$\gamma_h^{\bar{\ell}\alpha\bar{\phi}} = (\gamma_{-h}^{\ell\alpha\phi})^* \quad (4.8)$$

is used. The decay matrix $\gamma_h^{\ell\alpha\phi}$ is given by

$$(\gamma_h^{\ell\alpha\phi})_{ij} \equiv (h_{i\alpha}^{\dagger} h_{\alpha j}) g_w \left(q \cdot p - h(\omega_q \frac{\mathbf{q} \cdot \mathbf{p}}{|\mathbf{q}|} - \omega_p |\mathbf{q}|) \right), \quad (4.9)$$

where we have used the relation

$$\text{tr} \left((\not{q} + M) \frac{1 + h\mathbf{n} \cdot \boldsymbol{\sigma}}{2} \frac{1 - \gamma^5}{2} \not{p} \right) = \left(q \cdot p - h(\omega_q \frac{\mathbf{q} \cdot \mathbf{p}}{|\mathbf{q}|} - \omega_p |\mathbf{q}|) \right). \quad (4.10)$$

The first term $q \cdot p$ is even under the helicity flip $h \rightarrow -h$, while the second term is odd. The integral

$$\int \frac{d^3 p d^3 k}{2\omega_p 2\omega_k} \delta^4(q - p - k) (\omega_q \frac{\mathbf{q} \cdot \mathbf{p}}{|\mathbf{q}|} - \omega_p |\mathbf{q}|) \quad (4.11)$$

vanishes when thermal effects of the SM particles, namely the thermal mass ($\sim gT$) and the statistical factor (Pauli blocking) of leptons, are neglected.

The kinetic equation (4.5) describes an evolution of the density matrix f_N of the RH neutrinos. Since the equilibrium distribution satisfies the detailed balance condition, the r.h.s. is nonvanishing only when various quantities are out-of-equilibrium. We take a variation of (4.5) around the equilibrium. Here note that the relations $\delta f_\ell = -\delta f_{\bar{\ell}} \delta f_\phi = -\delta f_{\bar{\phi}}$ hold since the SM gauge particles are in thermal equilibrium and their chemical potentials are vanishing.

In order to solve the kinetic equations, it is convenient to define helicity even and odd combinations $\delta f_{N,q}^{even,odd}$ by

$$\delta f_{N,q}^{even} \equiv \delta f_{N,+,q} + \delta f_{N,-,q}, \quad \delta f_{N,q}^{odd} \equiv \delta f_{N,+,q} - \delta f_{N,-,q}. \quad (4.12)$$

Since helicity operator $\mathbf{n} \cdot \boldsymbol{\sigma}$ is parity-odd and RH neutrino is invariant under the charge conjugation, $\delta f_{N,q}^{even,odd}$ are CP-even and odd components respectively; In terms of these components, eq. (4.5) with the cut-diagram in Figure 3(a) can be rewritten as a set of equations

$$\begin{aligned} & d_t (2f_{N,q}^{eq} + \delta f_{N,q}^{even}) \\ &= -i \left[\frac{\omega_{q+}^{\text{eff}} + \omega_{q-}^{\text{eff}}}{2}, \delta f_{N,q}^{even} \right] - i \left[\frac{\omega_{q+}^{\text{eff}} - \omega_{q-}^{\text{eff}}}{2}, \delta f_{N,q}^{odd} \right] \\ & \quad - \frac{1}{2} \frac{1}{2\omega_q} \int d\Pi_{pk} \sum_{\alpha} \{ \Re(\gamma_+^{\ell^\alpha \phi} + \gamma_-^{\ell^\alpha \phi}), \delta f_{N,q}^{even} \} (1 - f_{\ell^\alpha p}^{eq} + f_{\phi k}^{eq}) \\ & \quad - \frac{1}{2} \frac{1}{2\omega_q} \int d\Pi_{pk} \sum_{\alpha} \{ i\Im(\gamma_+^{\ell^\alpha \phi} - \gamma_-^{\ell^\alpha \phi}), \delta f_{N,q}^{odd} \} (1 - f_{\ell^\alpha p}^{eq} + f_{\phi k}^{eq}) \\ & \quad + \frac{1}{2\omega_q} \int d\Pi_{pk} \sum_{\alpha} i\Im(\gamma_+^{\ell^\alpha \phi} + \gamma_-^{\ell^\alpha \phi}) (\delta f_{\ell^\alpha p} (f_{\phi k} + f_{N,q}^{eq}) + \delta f_{\phi k} (f_{\ell^\alpha p} - f_{N,q}^{eq})), \end{aligned} \quad (4.13)$$

$$\begin{aligned}
d_t(\delta f_{Nq}^{odd}) &= -i \left[\frac{\omega_{q+}^{\text{eff}} + \omega_{q-}^{\text{eff}}}{2}, \delta f_{Nq}^{odd} \right] - i \left[\frac{\omega_{q+}^{\text{eff}} - \omega_{q-}^{\text{eff}}}{2}, \delta f_{Nq}^{even} \right] \\
&- \frac{1}{2} \frac{1}{2\omega_q} \int d\Pi_{pk} \sum_{\alpha} \{ \Re(\gamma_+^{\ell^\alpha \phi} + \gamma_-^{\ell^\alpha \phi}), \delta f_{Nq}^{odd} \} (1 - f_{\ell^\alpha p}^{eq} + f_{\phi k}^{eq}) \\
&- \frac{1}{2} \frac{1}{2\omega_q} \int d\Pi_{pk} \sum_{\alpha} \{ i\Im(\gamma_+^{\ell^\alpha \phi} - \gamma_-^{\ell^\alpha \phi}), \delta f_{Nq}^{even} \} (1 - f_{\ell^\alpha p}^{eq} + f_{\phi k}^{eq}) \\
&+ \frac{1}{2\omega_q} \int d\Pi_{pk} \sum_{\alpha} \Re(\gamma_+^{\ell^\alpha \phi} - \gamma_-^{\ell^\alpha \phi}) (\delta f_{\ell^\alpha p} (f_{\phi k} + f_{N,q}^{eq}) + \delta f_{\phi k} (f_{\ell^\alpha p} - f_{N,q}^{eq})).
\end{aligned} \tag{4.14}$$

If we can neglect the helicity odd part of the decay width $\gamma_h^{\ell\phi}$ as discussed in (4.11) and the backreaction from lepton asymmetry (the last terms) is dropped, these equations for δf^{even} and δf^{odd} are almost decoupled. Note that the helicity dependent mass term ($\omega_+ - \omega_-$) is also negligible if thermal corrections are small.

The dominant source to generate deviations is the time variation of the local equilibrium distribution $d_t f^{eq}$, which is absent in the equation of δf^{odd} . Hence in the decoupling limit, it is sufficient to consider only the equation for δf^{even} . In section 5.4, we obtain the CP violating parameter under such a condition.

4.2 Kinetic equation for lepton number

The evolution equation for the lepton number is similarly obtained from the KB equation. Details of the derivation is given in Sec. 2.4 and 2.5 in [1]. α -th flavour lepton number current is defined by

$$\begin{aligned}
\sum_a \langle \bar{\ell}_a^\alpha(x) \gamma^\mu(x) \ell_a^\alpha \rangle &= - \sum_a \text{tr} \{ \gamma(x) S_{aa\lessgtr}^{\alpha\alpha}(x, y) \} \Big|_{y=x} \\
&= -g_w \text{tr} \{ \gamma(x) S_{\lessgtr}^{\alpha\alpha}(x, y) \} \Big|_{y=x}
\end{aligned} \tag{4.15}$$

where a is an $SU(2)$ isospin index. Around TeV scale, the charged Yukawa couplings distinguishing the lepton flavours are in equilibrium and the off-diagonal components of lepton flavour density matrix are negligible compared to diagonal ones. In the second equality, we have assumed that $SU(2)$ isospin symmetry is restored.

Since the derivative expansion is an expansion of $H/\Gamma_{\ell,\phi}$, higher order terms are highly suppressed and we have

$$\begin{aligned}
&d_t n_{L^\alpha} + 3H n_{L^\alpha} \\
&= g_w \int d\Pi_p \left[\text{tr} [P_L \not{p} \Sigma_{<}^{\alpha\alpha}(p)] (1 - f_{\ell^\alpha p}) + \text{tr} [P_L \not{p} \Sigma_{>}^{\alpha\alpha}(p)] f_{\ell^\alpha p} \right. \\
&\quad \left. - \text{tr} [P_L \not{p} \bar{\Sigma}_{<}^{\alpha\alpha}(p)] (1 - f_{\bar{\ell}^\alpha p}) - \text{tr} [P_L \not{p} \bar{\Sigma}_{>}^{\alpha\alpha}(p)] f_{\bar{\ell}^\alpha p} \right].
\end{aligned} \tag{4.16}$$

Σ is the self-energy of the SM lepton ℓ . If we consider, as an example, the

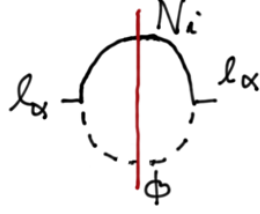


Figure 5: Cutting the self-energy diagram Σ of leptons ℓ . The cut diagram is the same as Figure 4 (a).

Yukawa interaction of (ℓ, ϕ, N) , the self-energy function for leptons in Figure 5 gives the same cut diagram Figure 4 (a). By using the same $\gamma_h^{\ell^\alpha \phi}$ in (4.9), the kinetic equation is reduced to the following Boltzmann equation;

$$\begin{aligned}
 & d_t n_{L^\alpha} + 3H n_{L^\alpha} \\
 &= \sum_h \int d\Pi_{qpk} \left[\text{Tr} \left[\gamma_h^{\ell^\alpha \phi} \{ f_{N,h,q} (1 - f_{\ell^\alpha p}) (1 + f_{\phi k}) - (1 - f_{N,h,q}) f_{\ell^\alpha p} f_{\phi k} \} \right] \right. \\
 & \quad \left. - \text{Tr} \left[(\gamma_h^{\ell^\alpha \phi})^* \left\{ f_{N,h,q} (1 - f_{\bar{\ell}^\alpha p}) (1 + f_{\bar{\phi} k}) - (1 - f_{N,h,q}) f_{\bar{\ell}^\alpha p} f_{\bar{\phi} k} \right\} \right] \right]. \tag{4.17}
 \end{aligned}$$

Here Tr is trace of the RH neutrino flavour.

4.3 Kinetic equations in terms of Yield variables

We rewrite the kinetic equations, (4.13), (4.14) and (4.17), in terms of the Yield variables Y defined by

$$Y_N^{eq} = \frac{2}{s} \int \frac{d^3 q}{(2\pi)^3} f_{N,q}^{eq}, \quad Y_{\ell^\alpha}^{eq} = \frac{g_w}{s} \int \frac{d^3 p}{(2\pi)^3} f_{\ell^\alpha p}^{eq}, \quad Y_{L^\alpha} = \frac{g_w}{s} \int \frac{d^3 p}{(2\pi)^3} (\delta f_{\ell^\alpha} - \delta f_{\bar{\ell}^\alpha}). \tag{4.18}$$

Here s is the entropy of the universe. Note that Y_N is a flavour matrix while Y_{ℓ^α} is a c-number (or α -th eigenvalue of a diagonal flavour matrix). In the following, we consider deviations of distribution functions of RH neutrinos N_i and charged leptons ℓ_α , and other SM particles are assumed to be in the equilibrium distributions. We assume N_i and ℓ are in the kinematical equilibrium. Then we can set

$$\frac{\delta f_{N,q}^{even}}{f_{N,q}^{eq}} = 2 \frac{\delta Y_N^{even}}{Y_N^{eq}}, \quad \frac{\delta f_{N,q}^{odd}}{f_{N,q}^{eq}} = 2 \frac{\delta Y_N^{odd}}{Y_N^{eq}}, \quad \frac{\delta f_{\ell^\alpha}}{f_{\ell^\alpha}^{eq}} = \frac{Y_{L^\alpha}}{2Y_{\ell^\alpha}^{eq}}. \tag{4.19}$$

Since the equations for δY are approximated by coupled linear differential equations, equations (4.13), (4.14) can be written in a generic form with matrices $H, \tilde{H}, \Gamma_N, \tilde{\Gamma}_N, \Gamma_L, \tilde{\Gamma}_L$;

$$d_t(Y_N^{eq} + \delta Y_N^{even}) = -i[H, \delta Y_N^{even}] - i[\tilde{H}, \delta Y_N^{odd}] - \frac{1}{2}\{\Gamma_N, \delta Y_N^{even}\} - \frac{1}{2}\{\tilde{\Gamma}_N, \delta Y_N^{odd}\} + \sum_{\alpha} \Gamma_{L^{\alpha}} Y_{L^{\alpha}} , \quad (4.20)$$

$$d_t(\delta Y_N^{odd}) = -i[H, \delta Y_N^{odd}] - i[\tilde{H}, \delta Y_N^{even}] - \frac{1}{2}\{\Gamma_N, \delta Y_N^{odd}\} - \frac{1}{2}\{\tilde{\Gamma}_N, \delta Y_N^{even}\} + \sum_{\alpha} \tilde{\Gamma}_{L^{\alpha}} Y_{L^{\alpha}} . \quad (4.21)$$

In the model with only Yukawa interactions, these matrices are given as follows:

$$\begin{aligned} H &\equiv \frac{2}{sY_N^{eq}} \int \frac{d^3 q}{(2\pi)^3} f_{N,q}^{eq} \frac{\omega_{+,q}^{\text{eff}} + \omega_{-,q}^{\text{eff}}}{2} , \\ \tilde{H} &\equiv \frac{2}{sY_N^{eq}} \int \frac{d^3 q}{(2\pi)^3} f_{N,q}^{eq} \frac{\omega_{+,q}^{\text{eff}} - \omega_{-,q}^{\text{eff}}}{2} , \end{aligned} \quad (4.22)$$

$$\Gamma_N = \Re \left(\sum_{\alpha} \Gamma_{\alpha} \right) , \quad \tilde{\Gamma}_N = i\Im \left(\sum_{\alpha} \tilde{\Gamma}_{\alpha} \right) , \quad \Gamma_{L^{\alpha}} = i\Im[\Gamma_{\alpha}^W] \quad (4.23)$$

$$\tilde{\Gamma}_{L^{\alpha}} \equiv \frac{1/s}{2Y_{\ell^{\alpha}}^{eq}} \int d\Pi_{qpk} \Re(\gamma_+^{\ell^{\alpha}\phi} - \gamma_-^{\ell^{\alpha}\phi}) f_{\ell^{\alpha}p}^{eq} (f_{\phi k} + f_{N,q}^{eq}) , \quad (4.24)$$

where¹

$$\Gamma_{\alpha} \equiv \frac{2}{sY_N^{eq}} \int d\Pi_{qpk} (\gamma_+^{\ell^{\alpha}\phi} + \gamma_-^{\ell^{\alpha}\phi}) f_{N,q}^{eq} (1 - f_{\ell^{\alpha}p}^{eq} + f_{\phi k}^{eq}) \quad (4.25)$$

$$\tilde{\Gamma}_{\alpha} \equiv \frac{2}{sY_N^{eq}} \int d\Pi_{qpk} (\gamma_+^{\ell^{\alpha}\phi} - \gamma_-^{\ell^{\alpha}\phi}) f_{N,q}^{eq} (1 - f_{\ell^{\alpha}p}^{eq} + f_{\phi k}^{eq}) \quad (4.26)$$

$$\Gamma_{\alpha}^W \equiv \frac{1/s}{2Y_{\ell^{\alpha}}^{eq}} \int d\Pi_{qpk} (\gamma_+^{\ell^{\alpha}\phi} + \gamma_-^{\ell^{\alpha}\phi}) f_{\ell^{\alpha}p}^{eq} (f_{\phi k} + f_{N,q}^{eq}) . \quad (4.27)$$

Similarly the kinetic equation for lepton number (4.17) is also rewritten as

$$\begin{aligned} d_t Y_{L^{\alpha}} &= \text{Tr} \left[2 \int d\Pi_{qpk} i\Im(\gamma_+^{\ell^{\alpha}\phi} + \gamma_-^{\ell^{\alpha}\phi}) f_{N,q}^{eq} (1 - f_{\ell^{\alpha}p}^{eq} + f_{\phi k}^{eq}) \frac{\delta Y_N^{even}}{sY_N^{eq}} \right] \\ &+ \text{Tr} \left[2 \int d\Pi_{qpk} \Re(\gamma_+^{\ell^{\alpha}\phi} - \gamma_-^{\ell^{\alpha}\phi}) f_{N,q}^{eq} (1 - f_{\ell^{\alpha}p}^{eq} + f_{\phi k}^{eq}) \frac{\delta Y_N^{odd}}{sY_N^{eq}} \right] \\ &- \left[\int d\Pi_{qpk} \text{Tr}[\Re(\gamma_+^{\ell^{\alpha}\phi} + \gamma_-^{\ell^{\alpha}\phi})] f_{N,q}^{eq} (1 + f_{\phi k}^{eq}) \frac{Y_{L^{\alpha}}}{s2Y_{\ell^{\alpha}}^{eq}} \right] \end{aligned} \quad (4.28)$$

$$= \text{Tr} \left[i\Im[\Gamma_{\alpha}] \delta Y_N^{even} \right] + \text{Tr} \left[\Re[\tilde{\Gamma}_{\alpha}] \delta Y_N^{odd} \right] - \Re[\Gamma_{\alpha}^W] Y_{L^{\alpha}} . \quad (4.29)$$

¹The real and imaginary properties of Γ_N and $\tilde{\Gamma}_N$ are valid when we neglect the direct CP violation, an interference between the tree and one-loop vertex corrections. In the resonant leptogenesis, this approximation is justified.

Hence the lepton asymmetry is generated if the r.h.s. is nonvanishing. CP violating parameter ε can be read from the equation by inserting solutions of the kinetic equations for δY_N^{even} (4.20) and δY_N^{odd} (4.21).

5 Solution of the kinetic equations

In order to obtain the CP violating parameter, we solve the kinetic equations for δY_N . In the derivation of the kinetic equation from the KB equation, we assumed that the system is not far from the local equilibrium at each time of the expanding universe. But smallness of the off-diagonal Yukawa coupling is *not* assumed, and the coherent flavour oscillation is fully taken into account. Since the deviation from local equilibrium is caused by the Hubble expansion, both of δ and ∂_t are proportional to the Hubble parameter H . Hence we can set

$$d_t(\delta Y_N^{even}) \simeq 0, \quad d_t(\delta Y_N^{odd}) \simeq 0 \quad (5.1)$$

in the l.h.s. of Eq. (4.20), (4.21) under the condition $H \ll \Gamma_i \ll \Gamma_{\ell, \phi}$.

5.1 Formal solution of δY_N

In the two-flavour case, Y_N , H , Γ_N etc. are 2×2 matrices. We here express a 2×2 matrix A as $A = \sum_{a=0}^3 [A]^a \sigma^a$ where $\sigma^0 = 1_{2 \times 2}$ and σ^i ($i = 1, 2, 3$) is the Pauli matrix. Then Eqs. (4.20), (4.21) are rewritten as

$$\begin{aligned} [d_t Y_N^{eq}]^a &= C^{ab} [\delta Y_N^{even}]^b + \tilde{C}^{ab} [\delta Y_N^{odd}]^b + [\mu]^a \\ 0 &= C^{ab} [\delta Y_N^{odd}]^b + \tilde{C}^{ab} [\delta Y_N^{even}]^b + [\tilde{\mu}]^a \end{aligned} \quad (5.2)$$

where

$$\begin{aligned} C^{ab} &\equiv -(\delta^{ab} [\Gamma_N]^0 + \delta_0^a \delta_i^b [\Gamma_N]^i + \delta_i^a \delta_0^b [\Gamma_N]^i + 2\delta_i^a \delta_j^b \epsilon^{ijk} [\mathbf{H}]^k), \\ \tilde{C}^{ab} &\equiv -(\delta^{ab} [\tilde{\Gamma}_N]^0 + \delta_0^a \delta_i^b [\tilde{\Gamma}_N]^i + \delta_i^a \delta_0^b [\tilde{\Gamma}_N]^i + 2\delta_i^a \delta_j^b \epsilon^{ijk} [\tilde{\mathbf{H}}]^k), \\ [\mu]^a &\equiv \sum_{\alpha} [\Gamma_{L^\alpha}]^a Y_{L^\alpha}, \quad [\tilde{\mu}]^a \equiv \sum_{\alpha} [\tilde{\Gamma}_{L^\alpha}]^a Y_{L^\alpha}. \end{aligned} \quad (5.3)$$

The Yield density matrix $Y_N^{(eq)}$ in equilibrium has an $a = 0$ component only²

$$[d_t Y_N^{eq}]^a = \delta_0^a (d_t Y_N^{eq}). \quad (5.4)$$

From (4.22), (4.23) and (4.24), H, Γ_N and $\tilde{\Gamma}_L$ (hence $\tilde{\mu}$) are real matrices. Hence $[\Gamma_N]^a, [\mathbf{H}]^a, [\tilde{\mu}]^a$ do not have an $a = 2$ component. On the other hand,

² This statemet is correct only when we use the equilibrium distribution function for f_N . If we take higher order terms (the second term of eq. (A.5)) into account, the off-diagonal components appear and the following solutions of δY become more complicated.

$[\tilde{\Gamma}_N]^a, [\tilde{\mathbf{H}}]^a, [\mu]^a$ have only an $a = 2$ component since they are imaginary matrices³.

The equations (5.2) are linear equations with respect to δY_N and can be solved in terms of the time-variation of the local equilibrium distribution $d_t Y_N^{(eq)}$ and the lepton asymmetry $\mu, \tilde{\mu}$ as

$$\begin{pmatrix} [\delta Y_N^{even}] \\ [\delta Y_N^{odd}] \end{pmatrix} = \mathbf{C}^{-1} \begin{pmatrix} [d_t Y_N^{eq}] - [\mu] \\ -[\tilde{\mu}] \end{pmatrix}, \quad \mathbf{C} \equiv \begin{pmatrix} C & \tilde{C} \\ \tilde{C} & C \end{pmatrix} \quad (5.5)$$

In the expanding universe, the deviation of RH neutrino number densities from equilibrium δY_N is first generated and then lepton asymmetry Y_L is generated by the flavour oscillation and decay. Here we neglect backreaction from Y_L and evaluate the deviation of RH neutrino density directly caused by the expansion of universe. Setting $\tilde{\mu} = 0$, δY_N is solved as

$$\begin{aligned} [\delta Y_N^{even}]^a &= (\mathcal{C}^{-1})^{ab} [d_t Y_N^{eq}]^b = (\mathcal{C}^{-1})^{a0} \times d_t Y_N^{eq}, \\ [\delta Y_N^{odd}]^a &= (\tilde{\mathcal{C}}^{-1})^{ab} [d_t Y_N^{eq}]^b = (\tilde{\mathcal{C}}^{-1})^{a0} \times d_t Y_N^{eq} \end{aligned} \quad (5.6)$$

where

$$\mathbf{C}^{-1} \equiv \begin{pmatrix} \mathcal{C}^{-1} & \tilde{\mathcal{C}}^{-1} \\ \tilde{\mathcal{C}}^{-1} & \mathcal{C}^{-1} \end{pmatrix}. \quad (5.7)$$

Components in the 0-th column of \mathbf{C}^{-1} are given by

$$\begin{aligned} (\mathcal{C}^{-1})^{00} &= \frac{-1}{D} [\Gamma_N]^0 \left\{ ([\Gamma_N]^0)^2 + 4([\mathbf{H} \cdot \mathbf{H}] + [\tilde{\mathbf{H}} \cdot \tilde{\mathbf{H}}]) \right\}, \\ (\mathcal{C}^{-1})^{i0} &= \frac{1}{D} \left\{ ([\Gamma_N]^0)^2 [\Gamma_N]^i + 4([\Gamma_N \cdot \mathbf{H}] - [\tilde{\Gamma}_N \cdot \tilde{\mathbf{H}}]) [\mathbf{H}]^i - 2[\Gamma_N]^0 \epsilon^{ijk} [\Gamma_N]^j [\mathbf{H}]^k \right\}, \\ (\tilde{\mathcal{C}}^{-1})^{00} &= 0, \\ (\tilde{\mathcal{C}}^{-1})^{i0} &= \frac{1}{D} \left\{ ([\Gamma_N]^0)^2 [\tilde{\Gamma}_N]^i + 4([\Gamma_N \cdot \mathbf{H}] - [\tilde{\Gamma}_N \cdot \tilde{\mathbf{H}}]) [\tilde{\mathbf{H}}]^i \right. \\ &\quad \left. - 2[\Gamma_N]^0 \epsilon^{ijk} [\Gamma_N]^j [\tilde{\mathbf{H}}]^k - 2[\Gamma_N]^0 \epsilon^{ijk} [\tilde{\Gamma}_N]^j [\mathbf{H}]^k \right\}, \end{aligned} \quad (5.8)$$

where D is the determinant,

$$\begin{aligned} D &\equiv ([\Gamma_N]^0)^2 \left\{ ([\Gamma_N]^0)^2 - [\Gamma_N \cdot \Gamma_N] + [\tilde{\Gamma}_N \cdot \tilde{\Gamma}_N] + 4([\mathbf{H} \cdot \tilde{\mathbf{H}}] + [\mathbf{H} \cdot \tilde{\mathbf{H}}]) \right\} \\ &\quad - 4\{[\Gamma_N \cdot \mathbf{H}] + [\tilde{\Gamma}_N \cdot \tilde{\mathbf{H}}]\}^2. \end{aligned} \quad (5.9)$$

$[\cdot]$ denotes a summation over $i = 1, 2, 3$.

³Flavour covariance is explicitly broken by setting the Majorana mass matrix of the RH neutrinos diagonal with eigenvalues M_1, M_2 .

5.2 CP-violation parameter ε

In order to read the effective CP -violating parameter ε , we set $Y_L = 0$ and insert (5.6) into the kinetic equation of the lepton numbers (4.29),

$$\begin{aligned}
d_t Y_{L^\alpha} &= \text{Tr} \left[i \Im(\Gamma_\alpha) \delta Y_N^{\text{even}} \right] + \text{Tr} \left[\Re(\tilde{\Gamma}_\alpha) \delta Y_N^{\text{odd}} \right] \\
&= 2[\Gamma_\alpha]^2 [\delta Y_N^{\text{even}}]^2 + 2 \sum_{a=0,1,3} [\tilde{\Gamma}_\alpha]^a [\delta Y_N^{\text{odd}}]^a \\
&= 2 \left\{ [\Gamma_\alpha]^2 (\mathcal{C}^{-1})^{20} + [\tilde{\Gamma}_\alpha]^1 (\tilde{\mathcal{C}}^{-1})^{10} + [\tilde{\Gamma}_\alpha]^3 (\tilde{\mathcal{C}}^{-1})^{30} \right\} \times d_t Y_N^{\text{eq}} \\
&= \frac{4[\Gamma_N]^0}{D} \epsilon^{ijk} \left\{ [\Gamma_\alpha]^i [\Gamma_N]^j [\mathbf{H}]^k + [\tilde{\Gamma}_\alpha]^i [\Gamma_N]^j [\tilde{\mathbf{H}}]^k + [\tilde{\Gamma}_\alpha]^i [\tilde{\Gamma}_N]^j [\mathbf{H}]^k \right\} \times (-d_t Y_N^{\text{eq}})
\end{aligned} \tag{5.10}$$

The r.h.s. can be rewritten in terms of $2[\delta Y_N]^0 = \text{Tr}(\delta Y_N)$, which is the total RH neutrino number deviated from the local equilibrium. Especially, neglecting the difference of helicity, we can write the r.h.s. of (5.10) in terms of $[\delta Y_N^{\text{even}}]^0$ in (5.6) as

$$d_t Y_{L^\alpha} = 2\varepsilon^\alpha [\Gamma_N]^0 [\delta Y_N^{\text{even}}]^0. \tag{5.11}$$

Here $[\Gamma_N]^0$ is an averaged decay rate of RH neutrinos into charged lepton ℓ^α . The CP-violating parameter ε^α defined by the coefficient is read as

$$\begin{aligned}
\varepsilon^\alpha &= \frac{2\epsilon^{ijk} \left\{ [\Gamma_\alpha]^i [\Gamma_N]^j [\mathbf{H}]^k + [\tilde{\Gamma}_\alpha]^i [\Gamma_N]^j [\tilde{\mathbf{H}}]^k + [\tilde{\Gamma}_\alpha]^i [\tilde{\Gamma}_N]^j [\mathbf{H}]^k \right\}}{\left(([\Gamma_N]^0)^2 + 4([\mathbf{H} \cdot \mathbf{H}] + [\tilde{\mathbf{H}} \cdot \tilde{\mathbf{H}}]) \right) [\Gamma_N]^0} \\
&= -i \frac{\text{tr} \left(\Gamma_\alpha \Gamma_N \mathbf{H} + \tilde{\Gamma}_\alpha \Gamma_N \tilde{\mathbf{H}} + \tilde{\Gamma}_\alpha \tilde{\Gamma}_N \mathbf{H} \right)}{\left(([\Gamma_N]^0)^2 + 4([\mathbf{H} \cdot \mathbf{H}] + [\tilde{\mathbf{H}} \cdot \tilde{\mathbf{H}}]) \right) [\Gamma_N]^0}.
\end{aligned} \tag{5.12}$$

The result is valid when it is justified to replace $d_t Y_N$ by its equilibrium value $d_t Y_N^{\text{eq}}$. Though our calculation fixes the flavour basis in which the Majorana masses are diagonal, the final form is written in a flavour covariant way. The above definition of ε is appropriate since the numerator of the ordinary definition

$$\varepsilon \equiv \frac{\Gamma_{N \rightarrow \ell \phi} - \Gamma_{N \rightarrow \bar{\ell} \bar{\phi}}}{\Gamma_{N \rightarrow \ell \phi} + \Gamma_{N \rightarrow \bar{\ell} \bar{\phi}}} \tag{5.13}$$

is replaced by $d_t Y_L / 2[\delta Y_N]^0$ while the denominator is approximated by Γ_N .

5.3 Explicit forms of δY_N

In this section, we use explicit forms of various quantities to rewrite the formal expression (5.12) in a more familiar form.

\mathbf{H} ($\tilde{\mathbf{H}}$) is the helicity even (odd) part of the mass (with thermal corrections included) and given in (4.22). $\tilde{\mathbf{H}}$ has an $a = 2$ component only. For \mathbf{H} , $a = 0$

component is the total mass and decouples from the equation. $a = 3$ component of H gives the mass difference

$$2[H]^3 = \frac{\xi_0}{sY_N^{eq}}(M_1 - M_2) + \dots \quad (5.14)$$

where

$$\xi_0 \equiv 2M \int \frac{d^3q}{(2\pi)^3} \frac{1}{\omega_q} f_{Nq}^{eq}. \quad (5.15)$$

The \dots in $[H]^3$ represents finite temperature (and density) corrections to the RH neutrino potential. Off-diagonal components $[H]^1$ and $[\tilde{H}]^2$ represent kinetic mixing induced by the thermal effects, and can be removed by flavour rotation at each time. Unitary matrix diagonalizing the mass matrix is time dependent, but in the following analysis, we neglect time-dependence of the thermal mass and mixing. If we neglect the statistical effects, the coefficient in $[H]^3$ is given by $(\xi_0/sY_N^{eq}) = K_1(M/T)/K_2(M/T)$. At low temperature $T \ll M$ it approaches $(\xi_0/sY_N^{eq}) \rightarrow 1$ while at high temperature $T \gg M$, it behaves as $(\xi_0/sY_N^{eq}) \sim M/(2T)$.

Γ_N comes from the self-energy diagrams of RH neutrinos, and contains information of (inverse) decay or scattering of RH neutrinos. We decompose Γ_N into Γ_α by fixing the flavour α of lepton ℓ^α in the final state. Only the real part appears in the KB equation. From (4.23), we can decompose Γ_N in the model (2.2) as

$$\Gamma_N = \frac{\xi}{sY_N^{eq}} \frac{\Re(h^\dagger h)M}{8\pi} + \Gamma_N^{\text{scatt}} + \Gamma_N^{\text{vertex}}, \quad (5.16)$$

where

$$\xi \equiv 32\pi \left(M - \frac{m_\phi^2 - m_\ell^2}{M} \right) \int d\Pi_{N\ell^\alpha\phi} f_{Nq}^{eq} (1 - f_{\ell p}^{eq} + f_{\phi k}^{eq}). \quad (5.17)$$

Γ_α is a partial decay width that RH neutrino decays into ℓ^α . At the leading order, it is given by replacing $(h^\dagger h)_{ij}$ in (5.16) by $(h_{i\alpha}^\dagger h_{\alpha j})$ (no summation over α).

The first term of Γ_N is the decay amplitude at the tree level and if we neglect the statistical effects and the thermal mass of the Higgs and lepton, ξ coincides with ξ_0 , and approaches

$$(\xi/sY_N^{eq}) = (\xi_0/sY_N^{eq}) \rightarrow M/(2T) \quad (5.18)$$

at high temperature. Γ_N^{scatt} are corrections to the decay rate from scattering with the top quarks or gauge particles in the thermal media. Γ_N^{vertex} are corrections to the vertex diagram. It is negligible compared to the first term. In the resonant leptogenesis, the direct CP violating parameter associated with an interference between the tree and the vertex correction can be neglected compared to the

indirect CP violation through the flavour oscillation. Then the relations $[\Gamma_N]^2 = [\tilde{\Gamma}_N]^{0,1,3} = 0$ hold. (See footnote 1.)

In order to simplify the notation, we write

$$(\Gamma_N)_{ij} = \frac{\xi_0}{sY_N^{eq}} \Gamma_{ij}^{\text{eff}}, \quad (\tilde{\Gamma}_N)_{ij} = \frac{\xi_0}{sY_N^{eq}} \tilde{\Gamma}_{ij}^{\text{eff}} \quad (5.19)$$

where Γ_{ij}^{eff} and $\tilde{\Gamma}_{ij}^{\text{eff}}$ are effective decay rates including not only thermal effects but also scattering contributions. If interactions do not change the flavour structure, the effective decay matrix is written as

$$\Gamma_{ij}^{\text{eff}} = (1 + \alpha)M \frac{\Re(h^\dagger h)_{ij}}{8\pi}, \quad \tilde{\Gamma}_{ij}^{\text{eff}} = \tilde{\alpha}M \frac{i\Im(h^\dagger h)_{ij}}{8\pi}. \quad (5.20)$$

for $a = 1, 2, 3$ component. Furthermore, if we consider flavour independent interactions such as $B - L$ gauge interaction of RH neutrinos, an additional contribution is added to $a = 0$ component $[\Gamma_N]^0$. In the following, we neglect this contribution for simplicity. When we neglect thermal effects and scattering contributions, α and $\tilde{\alpha}$ vanish and diagonal components of Γ_{ii}^{eff} are reduced to the tree-level vacuum decay rate $\Gamma_i^{\text{vac}} \equiv (h^\dagger h)_{ii}M/(8\pi)$. In the following we write $\Gamma_i = \Gamma_{ii}^{\text{eff}}$ as a decay rate including the above corrections.

Using these quantities of H and Γ_N , we can express each component of the inverse matrix \mathcal{C}^{-1} in terms of masses M_i and decay rates Γ_i . The explicit forms are written in Appendix B.

By using the explicit forms of \mathcal{C}^{-1} in Appendix B, we can write down each component of δY as follows. First, the diagonal components of δY_N^{even} ($a = 0, 3$) are given by

$$[\delta Y_N^{\text{even}}]^0 = -\frac{d_t Y_N^{eq}}{\xi_0/(sY_N^{eq})} \frac{\Gamma_1 + \Gamma_2}{2\Gamma_1\Gamma_2} U, \quad (5.21)$$

$$[\delta Y_N^{\text{even}}]^3 = -\frac{d_t Y_N^{eq}}{\xi_0/(sY_N^{eq})} \frac{-\Gamma_1 + \Gamma_2}{2\Gamma_1\Gamma_2} U, \quad (5.22)$$

where

$$U \equiv \frac{(M_1^2 - M_2^2)^2 + M^2(\Gamma_1 + \Gamma_2)^2}{(M_1^2 - M_2^2)^2 + M^2(\Gamma_1 + \Gamma_2)^2 X}. \quad (5.23)$$

and

$$X = \frac{\det[\Re(h^\dagger h)](1 + \alpha)^2 - (\tilde{\alpha}\Im(h^\dagger h))^2}{(h^\dagger h)_{11}(h^\dagger h)_{22}(1 + \alpha)^2}. \quad (5.24)$$

$[\delta Y_N^{\text{even}}]^0$ gives an averaged number of the RH neutrinos deviated from the local equilibrium. Equivalently, ii -component of the matrix δY_N^{even} is given by

$$(\delta Y_N^{\text{even}})_{ii} = [\delta Y_N^{\text{even}}]^0 \pm [\delta Y_N^{\text{even}}]^3 = -\frac{d_t Y_N^{eq}}{\xi_0/(sY_N^{eq})} \frac{U}{\Gamma_i} \quad (5.25)$$

where \pm represents $i = 1, 2$ respectively.

Off-diagonal components can be similarly obtained. The real part $a = 1$ and the imaginary part $a = 2$ of δY_N^{even} are given by

$$[\delta Y_N^{even}]^1 = \Re \delta Y_{N12}^{even} = -2(1 + \alpha) \Re[h^\dagger h]_{12} (\Gamma_1 + \Gamma_2) M V [\delta Y_N^{even}]^0, \quad (5.26)$$

$$[\delta Y_N^{even}]^2 = -\Im \delta Y_{N12}^{even} = -2(1 + \alpha) \Re[h^\dagger h]_{12} (M_1^2 - M_2^2) V [\delta Y_N^{even}]^0. \quad (5.27)$$

For δY_N^{odd} , we have

$$[\delta Y_N^{odd}]^1 = \Re \delta Y_{N12}^{even} = 2\tilde{\alpha} \Im[h^\dagger h]_{12} (\Gamma_1 + \Gamma_2) M V [\delta Y_N^{even}]^0, \quad (5.28)$$

$$[\delta Y_N^{odd}]^2 = -\Im \delta Y_{N12}^{even} = -2\tilde{\alpha} \Im[h^\dagger h]_{12} (M_1^2 - M_2^2) V [\delta Y_N^{even}]^0. \quad (5.29)$$

Here we defined

$$V \equiv \frac{M^2/(8\pi)}{(M_1^2 - M_2^2)^2 + M^2(\Gamma_1 + \Gamma_2)^2}. \quad (5.30)$$

$[\delta Y_N^{even}]^2$ and $[\delta Y_N^{odd}]^1$ give the CP violating parameter ε . It is given in a simplified case in the next section.

We comment on a situation when $\det[\Re(h^\dagger h)]$ becomes small. (For simplicity we set $\tilde{\alpha}=0$.) Then X and accordingly $[\delta Y_N^{even}]^0$ is largely enhanced. The situation corresponds to a case that an effective decay rate (cf.(4.20)) is small. Especially when the mass difference vanishes $M_1 = M_2$, it diverges at $\det[\Re(h^\dagger h)] = 0$, namely when $\det C = 0$. In such a situation, the deviation of RH neutrino number density becomes large and the assumption of our investigation, smallness of the deviation from local equilibrium, becomes invalid.

5.4 CP violating parameter ε when $\tilde{\mathcal{C}} = 0$

Finally we write the formal expression of (5.12) in a more familiar form by introducing further simplifications. We neglect the thermal mass of leptons and drop the Pauli blocking terms. Then the helicity odd part of $\gamma_h^{\ell\phi}$ disappears as explained in (4.11) and the off-diagonal components $\tilde{\mathcal{C}}$ connecting the CP even and odd parts in δY vanish. Furthermore we use the vacuum value of Γ_N ($\alpha = \tilde{\alpha} = 0$). Then, by using explicit forms of H in (5.14) and Γ_N in (5.19) with $\Gamma_{ij}^{\text{eff}} = \Gamma_{ij}^{\text{vac}}$, the CP-violating parameter ε^α is given by

$$\begin{aligned} \varepsilon^\alpha &= \frac{2\epsilon^{ijk} [\Gamma_\alpha]^i [\Gamma_N]^j [H]^k}{([\Gamma_N]^0)^2 + 4[H \cdot H]} \\ &= \frac{2\Re(h^\dagger h)_{12} \Im(h_{1\alpha}^\dagger h_{\alpha 2})}{((h^\dagger h)_{11} + (h^\dagger h)_{22})^2/4} \frac{(M_1^2 - M_2^2)M(\Gamma_1 + \Gamma_2)/2}{(M_1^2 - M_2^2)^2 + M^2(\Gamma_1 + \Gamma_2)^2}. \end{aligned} \quad (5.31)$$

This CP violating parameter has the regulator $M^2(\Gamma_1 + \Gamma_2)^2$ which is consistent with our previous result [1]. In the previous analysis we obtained the same result under an assumption that the off-diagonal Yukawa couplings are smaller than the diagonal ones. In the present analysis, we do not use such a condition, and

take effects of coherent flavour oscillation fully into account. The decay widths Γ_i^{eff} are determined by the effective decay width (5.19), which are obtained from the 1PI self-energy diagrams Π by cutting the diagrams and putting external lines on mass-shell.

Finally we note that we can decompose the r.h.s. of (5.11) into N_i ($i = 1, 2$) as

$$d_t Y_{L^\alpha} = \sum_{i=1,2} \varepsilon_i^\alpha (\Gamma_N)_{ii} (\delta Y_N^{\text{even}})_{ii} \quad (5.32)$$

where we define the CP violating parameter of each N_i as

$$\varepsilon_i^\alpha = \frac{2\Re(h^\dagger h)_{12} \Im(h_{1\alpha}^\dagger h_{\alpha 2})}{(h^\dagger h)_{11} (h^\dagger h)_{22}} \frac{(M_1^2 - M_2^2) M \Gamma_{j(\neq i)}}{(M_1^2 - M_2^2)^2 + M^2 (\Gamma_1 + \Gamma_2)^2} . \quad (5.33)$$

When $i = 1$, j takes 2, and vice-versa. Such a separation into a different flavour of RH neutrinos is, of course, valid only when the off-diagonal component $(h^\dagger h)$ is smaller than the diagonal one. The numerator of the first factor can be rewritten as

$$2\Re(h^\dagger h)_{12} \Im(h_{1\alpha}^\dagger h_{\alpha 2}) = \Im[(h^\dagger h)_{12} (h_{1\alpha}^\dagger h_{\alpha 2})] + \Im[(h^\dagger h)_{21} (h_{1\alpha}^\dagger h_{\alpha 2})] \quad (5.34)$$

which gives a consistent result with [5].

6 Summary

In the paper, we solved the KB equation without assuming that the off-diagonal component of the Yukawa couplings are small compared to the diagonal ones. In order to solve it, we first derive the kinetic equation for the density matrix. The differential equation can be reduced to a linear equation if the background is slowly changing and the deviation of the distribution function from local equilibrium is small. Then the density matrix of RH neutrino can be solved in terms of the time variation of the equilibrium distribution function and the generated lepton asymmetry. Its off-diagonal component determines the CP violating parameter ε . It is resonantly enhanced due to the almost degenerate Majorana masses and the regulator of ε is given by $R_{ij} = M_i \Gamma_i + M_j \Gamma_j$. In the 2PI formalism, the decay width Γ_i is given by the imaginary part of the self-energy function of the RH neutrinos. In addition to the loop corrections of the vertex functions, scattering effects with particles in medium are contained. The effect of coherent oscillation is fully taken into account by considering the density matrix formalism.

The derivation of the kinetic equation of the density matrix from the KB equation is based on an assumption that the distribution function is not far from the local equilibrium. It will be interesting to obtain the kinetic equation when the system is far from equilibrium. We want to come back to this problem in near future.

Note added

During the final stage of writing the manuscript, an interesting paper [79] appeared. In the paper, the authors derived the kinetic equation of density matrix based on the Hamiltonian approach, and solve the equation to obtain δY_N^{even} in the flavour covariant way. The result is consistent with ours but the interpretation of the CP violating parameter seems to be different. Also, in [79], the one-loop resummed effective Yukawa coupling is used to define decay and inverse-decay amplitudes (Γ_N in our notation), in which the effect of coherent oscillation is included in their analysis. In our approach based on the 2PI formalism, Γ_N comes from 1PI self-energies and the effect of coherent oscillation is not contained. The indirect CP violating parameter ϵ generated by resummation of RH neutrino propagators is taken into account by considering the multi-flavour formulation of density matrix.

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A Derivation of the kinetic term $d_t f_N$

In this appendix, we show how the the kinetic term in (3.25) $-id_t f_{N,h,q}$ is derived from the l.h.s. in (3.20):

$$\begin{aligned} & -i\text{tr} \left[P_h \left(\diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_R^{eq} \right\} \{if\} G_\rho^{eq} - \Pi_\rho^{eq} \diamond \{if\} \{G_A^{eq}\} \right. \right. \\ & \quad \left. \left. - G_\rho^{eq} \diamond \{if\} \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} - \Pi_A^{eq} \right\} + \diamond \{G_R^{eq}\} \{if\} \Pi_\rho^{eq} \right) \right]. \quad (\text{A.1}) \end{aligned}$$

First we look at the leading term. For simplicity, we drop the self-energy correction Π_R^{eq} . Then we have

$$\begin{aligned} & i\text{tr} \left[P_h \sum_{h'} \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} \right\} \{if_{h'}^{eq}\} (\not{q} + M) P_{h'} \right] \frac{\Gamma_a}{((q_0 - \omega_q)^2 + \Gamma_q^2/4)} \\ & = q_0 \left(\partial_X f_h^{eq}(q_0, X) - \frac{H|\mathbf{q}|^2}{q_0 a^2} \partial_{q_0} f_h^{eq}(q_0, X) \right) \frac{\Gamma_a}{((q_0 - \omega_q)^2 + \Gamma_q^2/4)} \quad (\text{A.2}) \end{aligned}$$

If we set $q_0 = \omega_q$, two terms in the bracket give a total derivative

$$d_t = (\partial_t T) \partial_T + (\partial_t \omega_q) \partial_{\omega_q} \quad (\text{A.3})$$

of the on-shell Fermi distribution function $f_{hq}^{eq} \equiv f^{eq}(t, \omega_q(t))$ in equilibrium. But the propagator has a Lorentz type structure and q_0 is extended around the position of the pole $q_0 = \omega_q$.

We then take an effect of the remaining terms in (A.1). These terms can be rewritten as

$$\begin{aligned}
& i\text{tr} \{P_h \Pi_\rho \diamond \{if^{eq}\} \{G_A\} - P_h \diamond \{G_R\} \{if^{eq}\} \Pi_\rho\} \\
& = i\text{tr} \{P_h \Pi_\rho G_A \diamond \{if^{eq}\} \{G_A^{-1}\} G_A - P_h G_R \diamond \{G_R^{-1}\} \{if^{eq}\} G_R \Pi_\rho\} \\
& \simeq -\text{tr} \left\{ P_h \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} \right\} \{f^{eq}\} (G_R \Pi_\rho G_R + G_A \Pi_\rho G_A) \right\}. \quad (\text{A.4})
\end{aligned}$$

In the first equality, we have used the relation $\diamond \{f\} \{A\} = -\diamond \{A\} \{f\}$ and $\diamond \{f\} \{A\} = A \diamond \{f\} \{A^{-1}\} A$ for a given matrix A . In the second line, we have used $G_{R/A}^{-1} = -(\not{q} - \hat{M} - \Pi_{R/A})$ and dropped next-to-leading order contributions $\Pi_{R,A}$.

Using (A.4), four terms in (A.1) are combined to become

$$\begin{aligned}
& 2\text{tr} \left\{ P_h \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} \right\} \{f\} G_\rho \right\} \\
& - \text{tr} \left\{ P_h \diamond \left\{ \gamma^0 q_0 - \frac{\mathbf{q} \cdot \boldsymbol{\gamma}}{a} - \hat{M} \right\} \{f^{eq}\} (G_R \Pi_\rho G_R + G_A \Pi_\rho G_A) \right\} \\
& \simeq \left(\partial_X f_h(q_0, X) - \frac{H|\mathbf{q}|^2}{q_0 a^2} \partial_{q_0} f_h(q_0, X) \right) \times (-i) \\
& \quad \times \left(\frac{\Gamma_q}{(q_0 - \omega_q)^2 + \Gamma_q^2/4} - \frac{\Gamma_q (q_0 - \omega_q - i\Gamma_q/2)^2}{2((q_0 - \omega_q)^2 + \Gamma_q^2/4)^2} - \frac{\Gamma_q (q_0 - \omega_q + i\Gamma_q/2)^2}{2((q_0 - \omega_q)^2 + \Gamma_q^2/4)^2} \right) \\
& = -i \left(\partial_X f_h(q_0, X) - \frac{H|\mathbf{q}|^2}{q_0 a^2} \partial_{q_0} f_h(q_0, X) \right) \times \frac{\Gamma_q^3/2}{((q_0 - \omega_q)^2 + \Gamma_q^2/4)^2} \quad (\text{A.5})
\end{aligned}$$

around the position of the pole $q_0 = \omega_q$. Here, we used the approximate form $\Pi_\rho \sim \not{q} \times (-i\omega_{q_0} \Gamma_q/M^2)$ and dropped higher order terms with respect to $(q_0 - \omega_q)$. Hence, the original Lorentz type distribution becomes to have a sharper spectrum after adding the higher order terms in the KB equation. Namely, the term $\Gamma_q^3/2/((q_0 - \omega_q)^2 + \Gamma_q^2/4)^2$ approaches Dirac delta function $2\pi\delta(q_0 - \omega_q)$ much faster than the usual Lorentz type form $\Gamma_q/((q_0 - \omega_q)^2 + \Gamma_q^2/4)$ in the limit $\Gamma_q \rightarrow 0$ [80].

In this appendix, we considered a single flavour case in order to see that the distribution function is sharpened as above. The effect of flavour mixing due to the second term in (A.5) may change the flavour structure in the l.h.s. of the kinetic equation. We want to come back to this interesting issue in future.

B Appendix B: Explicit forms of \mathcal{C}^{-1} and $\tilde{\mathcal{C}}^{-1}$

$$\begin{aligned}
(\mathcal{C}^{-1})^{a0} &= \frac{-1}{D} \begin{pmatrix} [\Gamma_N]^0 \{([\Gamma_N]^0)^2 + (2[\mathbf{H}]^3)^2\} \\ -([\Gamma_N]^0)^2 [\Gamma_N]^1 \\ -2[\mathbf{H}]^3 [\Gamma_N]^0 [\Gamma_N]^1 \\ -[\Gamma_N]^3 \{([\Gamma_N]^0)^2 + (2[\mathbf{H}]^3)^2\} \end{pmatrix}^a \\
&= \frac{-\xi_0^3}{D(sY_N^{eq})^3} \begin{pmatrix} [\Gamma]^0 \{([\Gamma]^0)^2 + (M_1 - M_2)^2\} \\ -([\Gamma]^0)^2 [\Gamma]^1 \\ -(M_1 - M_2) [\Gamma]^0 [\Gamma]^1 \\ -[\Gamma]^3 \{([\Gamma]^0)^2 + (M_1 - M_2)^2\} \end{pmatrix}^a, \\
(\tilde{\mathcal{C}}^{-1})^{a0} &= \frac{-1}{D} \begin{pmatrix} 0 \\ +2[\mathbf{H}]^3 [\Gamma_N]^0 [\tilde{\Gamma}_N]^2 \\ -([\Gamma_N]^0)^2 [\tilde{\Gamma}_N]^2 \\ 0 \end{pmatrix}^a = \frac{-\xi_0^3}{D(sY_N^{eq})^3} \begin{pmatrix} 0 \\ +(M_1 - M_2) [\Gamma]^0 [\tilde{\Gamma}]^2 \\ -([\Gamma]^0)^2 [\tilde{\Gamma}]^2 \\ 0 \end{pmatrix}^a,
\end{aligned} \tag{B.1}$$

where determinant D is given by

$$\begin{aligned}
D &= \{([\Gamma_N]^0)^2 - ([\Gamma_N]^3)^2\} \left[(2[\mathbf{H}]^3)^2 + ([\Gamma_N]^0)^2 \frac{([\Gamma_N]^0)^2 - [\Gamma_N \cdot \Gamma_N] - [\tilde{\Gamma}_N \cdot \tilde{\Gamma}_N]}{([\Gamma_N]^0)^2 - ([\Gamma_N]^3)^2} \right] \\
&= \frac{\xi_0^4}{(sY_N^{eq})^4} \Gamma_1 \Gamma_2 \left[(M_1 - M_2)^2 + ([\Gamma]^0)^2 \frac{\det\{\Gamma\} - ([\tilde{\Gamma}]^2)^2}{\Gamma_1 \Gamma_2} \right].
\end{aligned} \tag{B.2}$$

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